

Outline of the Draft Guidelines for the Development of the Inventory of Hazardous Materials

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Progress of Ship Recycling Discussion in IMO

- **MEPC 47** (March 2002)
Establishment of the Ship Recycling WG in MEPC
- **ASSEMBLY 23** (December 2003)
Adoption of the IMO Guidelines on Ship Recycling (A.962(23))
- **ASSEMBLY 24** (December 2005)
Request MEPC to develop a new legally binding instrument on ship recycling (A.981(24))
- **MEPC 54** (March 2006)
Start development of the new legally binding instrument on ship recycling
- **MEPC 55** (October 2006); **MEPC 56** (July 2007)
Japan and Germany submitted “Draft Guidelines for the Development of the Inventory of Hazardous Materials”

“IMO Guidelines on Ship Recycling” A.962(23)

Problem

Co-existence of **three different lists of hazardous materials** which cover different materials and use different terminology for materials was confusing to users.

- Duplication of materials should be avoided.
- Is Appendix3 a list or a format?
- Threshold level for declaration should be needed.
- Classification by location must be more convenient.
etc.

The Three Different Lists of H.M. in A.962(23) (1)

Appendix 1: List of hazardous wastes and substances that are relevant to ship recycling (from the Guidelines of Basel Convention)

Table 1 Wastes and substances that may be inherent in the ship structure

Wastes	Possible waste-location on the ship
<i>A1 Metal and metal-bearing wastes</i>	
A1010 Metal wastes and waste consisting of alloys of any of the following:	
Antimony *	alloys with lead in lead-acid storage batteries, solder
Beryllium *	hardening agent in alloys, fuel containers, navigational systems
Cadmium *	bearings
Lead	connectors, couplings, bearings
Mercury	thermometers, bearing pressure sensors
Tellurium *	in alloys
A1020 Waste having as constituents or contaminants, excluding metal waste in massive form, any of the following:	
Antimony; antimony compounds *	fire retardation in plastics, textiles, rubber, etc.
Cadmium; cadmium compounds	batteries, anodes, bolts and nuts
Lead; lead compounds	batteries, paint coatings, cable insulation

Terminology in Basel Convention

Terminology in Basel Convention

The Three Different Lists of H.M. in A.962(23) (2)

Appendix 2: Potentially hazardous materials which may be on board ships delivered to recycling facilities (from Industry Code of Practice)

- A. Operational Substances and Consumables**
- 1. Cargo Residues including Slops
- 2. Dry tank Residues
- 3. Fuel oil, Diesel oil, Gas oil, Lubricating oil, Greases & Anti-seize Compounds
- 4. Hydraulic oil
- 5. Waste oils (contents of sludge tank)
- 6. Antifreeze fluids
- 7. Kerosene and White Spirit
- 8. Boiler and Feed Water Treatment Chemicals
- 9. Boiler and Feed Water Test Re-agents
- 10. De-ioniser Regenerating Chemicals
- 11. Evaporator Dosing and Descaling Acid
- 12. Domestic Water treatment Chemicals
- 13. Paints and Rust Stabilisers
- 14. Solvents and Thinners
- 15. Refrigerants (R12 or R22)
- 16. HALON
- 17. CO2 (in cylinders - engine room fire protection)
- 18. Acetylene, Propane and Butane
- 19. Hotel Services Cleaners
- 20. Fuel Oil Purifiers

The Three Different Lists of H.M. in A.962(23) (3)

Appendix 3: Inventory of potentially hazardous materials on board
(from Industry Code of Practice)

List or Format?

1A. Asbestos (Note: All asbestos containing materials (ACMs) or presumed asbestos containing materials (PACMs) should be prominently labeled as such).

Type of Asbestos Materials (Board, Pipe lagging, Contained)	Location	Approximate quantity/volume
	Engine Room/Machinery Rooms	
	Steam supply piping and hangers (General)	
	Steam exhaust piping and hangers (General)	
	Relief & safety valves (General)	
	Miscellaneous piping, outer covering and hangers	

1B. Paint (on vessel's structure) - Additives

Additive (Lead, Tin, Cadmium, Organotins (TBTs), Arsenic, Zinc, Chromium, Strontium, Other)	Location

What is the point of listing all the paints including these additives (except TBTs) for Recycling Yard?

1C. Plastic Materials

Type	Location	Approximate quantity/volume

• What is the point of listing all Plastic Materials?
• They are used in so many places.

The Three Different Lists of H.M. in A.962(23) (4)

Appendix 3: Inventory of potentially hazardous materials on board
(from Industry Code of Practice)

1D. Materials containing PCBs, PCTs, PBBs at levels of 50mg/kg or more		
Material	Location	Approximate quantity/volume

1E. Gases sealed in ship's equipment or machinery		
Type	Location	Approximate quantity/volume
Refrigerants (R12/R22)		
HALON		
CO2		

- What is the point of listing gases such as "CO2" at the construction stage of new ships?
- It is enough to be listed just before recycling.

The Three Different Lists of H.M. in A.962(23) (5)

Appendix 3: Inventory of potentially hazardous materials on board
(from Industry Code of Practice)

1F. Chemicals in ship's equipment or machinery		
Type	Location	Approximate quantity/volume
Anti-seize Compounds		
Engine Additives		
Antifreeze Fluids		
Kerosene		
White Spirit		
Boiler/Water Treatment		
De-ioniser Regenerating		
Evaporator Dosing and Descaling Acids		
Paint/Rust Stabilisers		
Solvents/Thinners		
Chemical Refrigerants		
Battery Electrolyte		
Hotel Service Cleaners		
Other (Specify)		

-What is the point of listing these commodities (ex. Hotel Service Cleaner, Cleaning Agent) at the construction stage of new ships?
-Their amounts always change during operation. They should be listed just before recycling.

1G. Other Substances inherent in ship's machinery, equipment or fittings		
Type	Location	Approximate quantity/volume
Lubricating Oil		
Hydraulic Oil		
Lead Acid Batteries		
Alcohol		
Methylated Spirits		
Epoxy Resins		
Mercury		

-Lead and Mercury don't have any threshold levels.
-How much detailed information is required?

It is obvious that **The Lists of H.M. in A.962(23) should be revised** considering the burden of Shipyards and Suppliers.

Discussion with regard to the Inventory (1)

Clarification of “Single List” and “Inventory” (MEPC53/WP.2)

55. *The Working Group ... agreed to the following clarifications:*
- .1 the “single list” should serve as a guidance document providing information on the potentially hazardous materials ...*
 - .2 the Inventory of potentially hazardous materials ... provides ship-specific information on the actual potentially hazardous material on board the ship, ...*
56. *... the Working Group agreed that there might be a need for the development of:*
- .1 a standard format of the Inventory of potentially hazardous materials;*
 - .2 common survey and inspection guidelines to check the Inventory; and*
 - .3 criteria for the selection of the materials to be listed in the Inventory.”*

Discussion with regard to the Inventory (2)

Challenge 1: Establishing the “Single List” with proper material selection and threshold

Proposal for a standard format of the Inventory of Potentially Hazardous Materials and criteria for the selection of the materials to be listed in the Inventory (submitted by Japan; MEPC54/3/1)

MEPC 54/3/1 Annex 1 (Excerpts) – Reference table on how materials are covered by the existing instruments

Items	Inventory ¹⁾			Regulations ²⁾	IMO Guidelines				
	Part1	Part2	Part3		APPENDIX 1 ³⁾	APPENDIX 2	APPENDIX 3 ⁴⁾		
							Part1	Part2	Part3
Kerosene	x		x		o A3020,A4060, Table3	o	o 1F		o 3B
White Spirit	x		x		o A3020,A4060, Table3	o	o 1F		o 3B
Lubricating Oil	x	x	x		o A3020,A4060, Table3	o	o 1G	o	o 3C
Hydraulic Oil	x	x	x		o A3020,A4060, Table3	o	o 1G	o	o 3C
Anti-seize Compounds	x		x			o	o 1F ⁰⁾		o 3B
Fuel Additive	x		x						

Discussion with regard to the Inventory (3)

Challenge 2: Standard Format of the Inventory

Proposal for a standard format of the Inventory of Potentially Hazardous Materials and criteria for the selection of the materials to be listed in the Inventory (submitted by Japan; MEPC54/3/1)

MEPC 54/3/1 Annex 2 (Excerpts) – Draft format of inventory hazardous materials on board

L1 Liquids sealed in ship's machinery and equipment

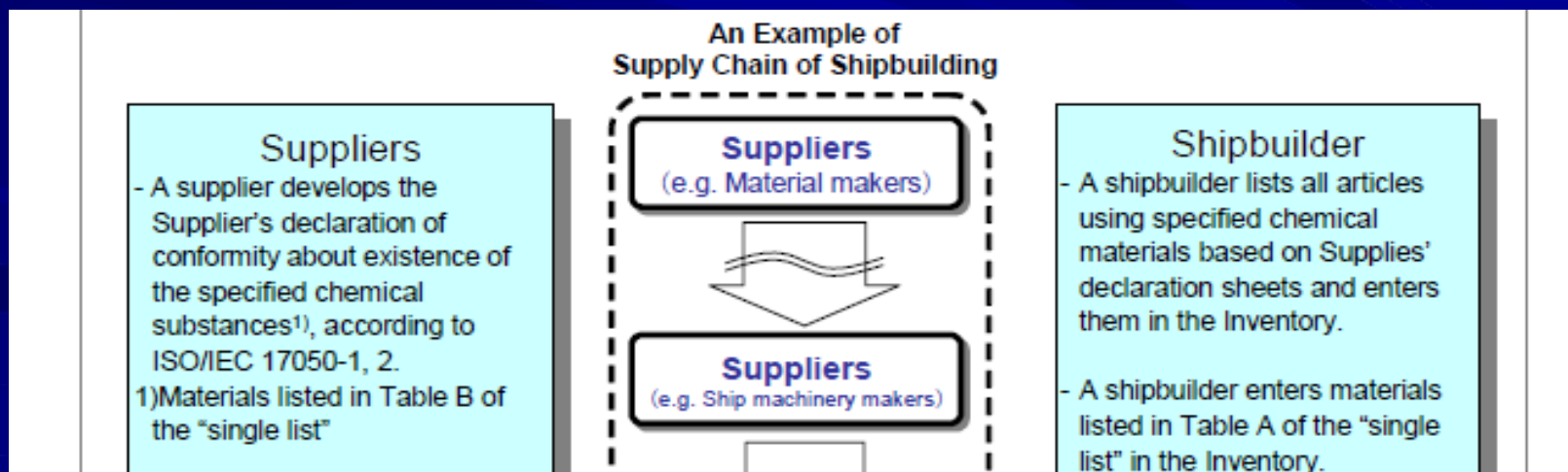
No.	Type of Liquids (Selection in the Single List)	Name of machinery or equipment	Location	Appx. Quantity	Remarks
1	Hydraulic Oil	Deck crane hydraulic oil pipe	Upper Deck	100 ltr	
		Deck machinery hydraulic oil pipe	Upper Deck and Bosun store	2000 ltr	
		Steering gear hydraulic oil pipe	Steering gear Room	500 ltr	
2	Lubricating Oil	Main engine pipeline	Engine Room	50 ltr	
3	Boiler Water Treatment	Boiler	Engine Room	10 ltr	

Discussion with regard to the Inventory (4)

Challenge 3: How to develop the Inventory

Proposal for the Guidelines for Survey and Inspection to verify the conformity of the Inventory of Potentially Hazardous Materials with the requirements of the new legally-binding instrument on recycling of ships (Submitted by Japan; MEPC54/3/7)

MEPC 54/3/7 Annex (Excerpts) – Flowchart of the System of Ensuring the Conformity of the “Inventory”



Proposal of the Draft Guidelines

Japan and Germany submitted “Draft Guidelines for the Development of the Inventory of Hazardous Materials” (MEPC55/3/1, MEPC56/3/2)

Philosophy of the development of the Guidelines

The Guidelines should provide essential requirements for practical and reasonable development of the Inventory.

In order to develop the Inventory, cooperation of all the players in shipbuilding supply chain is indispensable. Role of each player who comprises the supply chain should be clarified in the Guidelines.

- Material Declaration
- Supplier's declaration of conformity
- Taking into account the special situation of existing ships

Contents of the Draft Guidelines

- 1 Introduction
- 2 Definition
- 3 Requirements for the Inventory
- 4 Requirements for development of the Inventory
- 5 Requirements to confirm the conformity of the Inventory
- 6 Material Declaration
- 7 Supplier's declaration of conformity
- 8 List of References

Appendix 1: Items to be listed in the Inventory of Hazardous Materials

Appendix 2: Standard Format of Inventory of Hazardous Materials

Appendix 3: Flow diagram for development of Part I of the Inventory for existing ships

Appendix 4: Typical example of development process for part I of the Inventory of existing ships

Appendix 5: Example form of Material Declaration

Appendix 4: Example form of Supplier's Declaration of Conformity

[Appendix 7: Details of Table A and Table B of Appendix 1 with CAS-numbers]

Materials to be listed in the Inventory

Table A is comprised of the materials listed in **Appendix 1 of the Convention**;

Table B is comprised of the materials listed in **Appendix 2 of the Convention**;

Table C (**Potentially Hazardous Goods**) is comprised of the goods which are potentially hazardous to the environment and human health of workers at ship recycling facilities

Table D (**Regular Consumable Goods**) is comprised of the goods which are not specific to a ship and are unlikely to be dismantled or treated at a ship recycling facility.

Table A

TABLE A: Materials Listed in Appendix 1 of the Convention (Obligatory for New and Existing Ships)

No.	Materials	
A-1	Asbestos	
A-2	Polychlorinated Biphenyls (PCBs)	
A-3	Ozone Depleting Substances	CFCs
		Halons
		Other fully halogenated CFCs
		Carbon Tetrachloride
		1,1,1-Trichloroethane (Methyl chloroform)
		Hydrochlorofluorocarbons
		Hydrobromofluorocarbons
		Methyl bromide
		Bromochloromethane
A-4	Organotin compounds	Tributyl Tins
		Triphenyl Tins
		Tributyl Tin Oxide (TBTO)

Table B

TABLE B: Materials Listed in Appendix 2 of the Convention
(Obligatory for New Ships and New Installations; voluntary for Existing Ships)

No.	Materials
B-1	Cadmium and Cadmium Compounds
B-2	Hexavalent Chromium and Hexavalent Chromium Compounds
B-3	Lead and Lead Compounds
B-4	Mercury and Mercury Compounds
B-5	Polybrominated Biphenyl (PBBs)
B-6	Polybrominated Diphenyl Ethers (PBDEs)
B-7	Polychloronaphthalenes (more than 3 chlorine atoms)
B-9	Certain Shortchain Chlorinated Paraffins (Alkanes, C10-C13, chloro)

Table C

TABLE C: Potentially Hazardous Goods

<Liquids>

Kerosene, Lubricating Oil, Hydraulic Oil, Anti-seize Compounds, Fuel Additive, Engine Coolant Additives, Antifreeze Fluids, etc.

<Gases>

Acetylene, Propane, Butane, Oxygen, CO₂, Perfluorocarbons (PFCs), etc.

<Solids>

Dry Cargo Residues, Medical Waste/Infectious Waste, Incinerator Ash, Garbage, Fuel Tank Residues, Oily Solid Cargo Tank Residues, etc.

Table D

TABLE D: Regular Consumable Goods

No.	Properties	Example
D-1	Household appliances	Refrigerators, Freezers, Microwaves, Toasters, Fryers, Coffee machines, Other appliances used for cooking including Cutlery, Pans, Chinaware, Cups and Glasses, Washing machines, Clothes dryers, Dish washing machines, Irons, Vacuum cleaners, hairdryers
D-2	IT and telecommunications equipment	Personal computers, Notebook computers, Typewriters, Printers, Copying equipment, Pocket and desk calculators, Facsimile, Telephones, Remote controls
D-3	Consumer equipment	Radio sets, Television sets, Video cameras, Video recorders, Musical instruments, Gambling Machines,
D-4	Lighting equipment	Fluorescent lamps, Filament bulbs, lamps
D-5	Electrical and electronic tools	Drills, Saws, Sewing machines
D-7	Non ship-specific furniture, Interior and similar equipment	Chairs, Sofas, Tables, Beds, Curtains, Carpets, Garbage bins, Bed-linen, Pillows, Towels, Mattresses, Storage racks, Decoration, Bathroom installations, Toys, not structurally relevant or integrated artwork

Standard format of the Inventory

The Inventory should be developed using the standard format set out in Appendix 2 of the Guidelines.

Part1 HAZARDOUS MATERIALS CONTAINED IN THE SHIP'S STRUCTURE AND EQUIPMENT

1.1 Paints and Coating Systems containing materials listed in Table A and Table B of Appendix 1of the Guidelines

No.	Application of Paint	Name of Paint	Location	Materials (Classification in Appendix 1)	Appx. Quantity	Remarks
1	Anti-drumming compound	Primer, x xCo., xxprimer #300	Hull part	Lead	30 kg	
2	Antifouling	xx Co., xx coat #100	Underwater parts	TBT	20 kg	

1.2 Equipment and Machinery containing materials listed in Table A and Table B of Appendix 1 of the Guidelines

No.	Name of Equipment and Machinery	Location	Materials (Classification in Appendix 1)	Parts of Use	Appx. Quantity	Remarks
1	Switch Board	Engine Control Room	Lead	Solder and electric contact	200 mg	
			Mercury	Heat gauge	10 mg	
2	Diesel Engine, xx Co., xx #150	Engine room	Cadmium	Bearing	400 mg	Revised by XXX on Oct. XX, 2008
3	Diesel Engine, xx Co., xx #150	Engine room	Cadmium	Bearing	50 mg	

Development of Part I of the Inventory of new ships

Part I of the Inventory of new ships should be developed at design and construction stage.

Check on the materials listed in Table A and Table B should be required during development of Part I.

These check should be based on “**Material Declaration**” provided in section 6 of the Guidelines.

Maintenance and update of the Inventory

Maintenance and update of Part I

Part I of the Inventory should be maintained and updated during operation, especially checking at trade, repair and conversion of a ship.

Development of Part II and Part III

Part II (Operationally generated wastes) and Part III (Stores) should be developed before final survey.

Regular consumable goods (Table D) should be listed in **Part III** of the Inventory. The check on the materials listed in Table A and Table B should not be applied.

Material Declaration

Suppliers on shipbuilding supply chain should identify and declare that their supplied products (e.g., machinery, equipment, materials and paints) contain materials listed in Table A and Table B.

Information required in the declaration

- [.1 Date of declaration
- .2 Company name of supplier
- .3 Product name
- .4 Product number
- .5 Product Total Mass
- .6 Material name
- .7 Declaration of “Intentionally added”
- .8 Material mass]

Appendix 5 Example Form of Material Declaration Forms

<Date of declaration>
 Date: _____

<Supplier Information>

Company Name	
Division Name	
Address	
Contact Person	
Telephone No.	
Fax No.	
E-mail Address	

<Product Information>

Product Name	Product Number	Product Total Mass		Product Information
		Mass	Unit	

<Material Information>

Table	Material Name	Threshold Level	Intentionally added above threshold level		If yes, Material Mass		If yes, Detailed Material Information
			Yes/No	Mass	Unit		
Table A (Materials Listed in Appendix 1 of the Convention)	Adhesives	no threshold level					
	Polyketones	50 mg/kg					
	Isocyanate (IPDI)						
	EPDM						
	Other						
	Other (all Subgrouped PVCs)						
	Other Grouped PVCs	no threshold level					
	Other (all other)						
	Other (all other)						
	Other (all other)						
Table B (Materials Listed in Appendix 2 of the Convention)	Organic compounds	2,500 mg/kg					
	Chlorinated Polyethylene (CPE)						
	Chlorinated Polyethylene (CPE)						
	Chlorinated Polyethylene (CPE)						
	Chlorinated Polyethylene (CPE)						
	Chlorinated Polyethylene (CPE)						
	Chlorinated Polyethylene (CPE)						
	Chlorinated Polyethylene (CPE)						
	Chlorinated Polyethylene (CPE)						
	Chlorinated Polyethylene (CPE)						

Table	Material Name	Threshold Level	Intentionally added above threshold level		If yes, Substance Mass		If yes, Detailed Substance Information
			Yes/No	Mass	Unit		
Table B (Materials Listed in Appendix 2 of the Convention)	Substance and Substance Composites	100 mg/kg					
	Hexachloro Cyclohexane and Hexachloro Cyclohexane Composites	1,000 mg/kg					
	Lead and Lead Compounds	1,000 mg/kg					
	Mercury and Mercury Compounds	1,000 mg/kg					
	Polychlorinated Biphenyls (PCBs)	1,000 mg/kg					
	Polychlorinated Biphenyls (PCBs)	1,000 mg/kg					
	Polychlorinated Biphenyls (PCBs)	1,000 mg/kg					
	Polychlorinated Biphenyls (PCBs)	1,000 mg/kg					
	Polychlorinated Biphenyls (PCBs)	1,000 mg/kg					
	Polychlorinated Biphenyls (PCBs)	1,000 mg/kg					

Example form of Material Declaration

Supplier's declaration of conformity

Conformity of Material Declaration should be confirmed by “**Supplier's declaration of conformity**”.

Requirements*

- 1 Establishment of the company policy
- 2 Compliance with law
- 3 Clarification of the management and responsibility
- 4 Acquisition of information on chemical substance content
- 5 Notification of the revised information on the chemical substance contents
- 6 Documentation and its management
- 7 Internal audit of implementation
- 8 Review by management

*ISO9001 and ISO14001 certification can substitute with the requirements.

Appendix 4 Example of Declaration of Conformity

Supplier's declaration of conformity for Material Declaration Management

1) No. _____

2) Issuer's name: _____
Issuer's address: _____

3) Object of the declaration: _____

4) The object of the declaration described above is in conformity with the requirement of the following documents :

Document No.:	Title:	Edition/Date of issue
_____	Guidelines for the development of the Inventory of Hazardous Materials	_____

5) _____

6) 6. Additional Information : _____

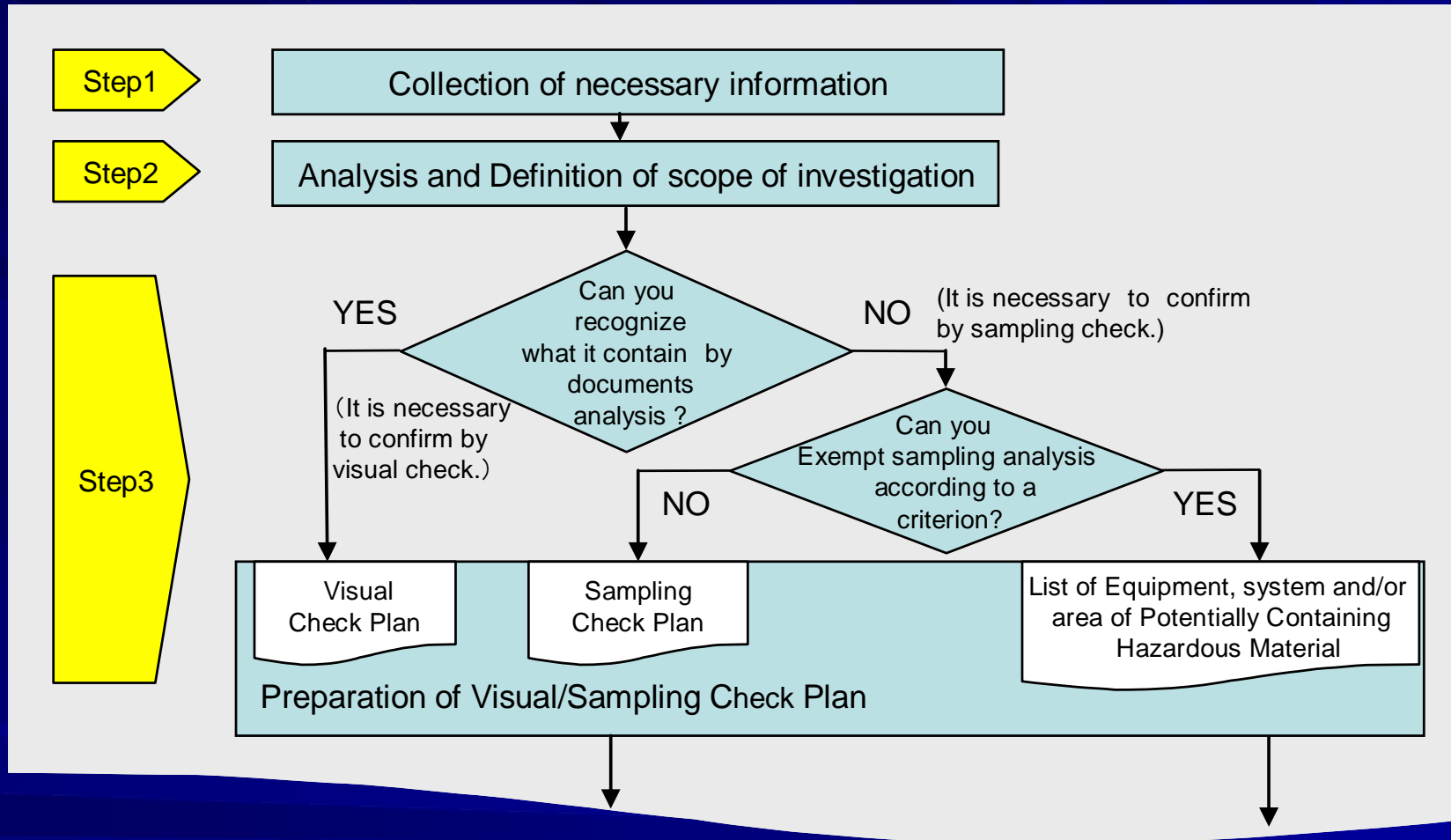
Signed for and on behalf of:

(Place and date of issue)

7) _____
(Name, function) (Signature or equipment authorized by the issuer)

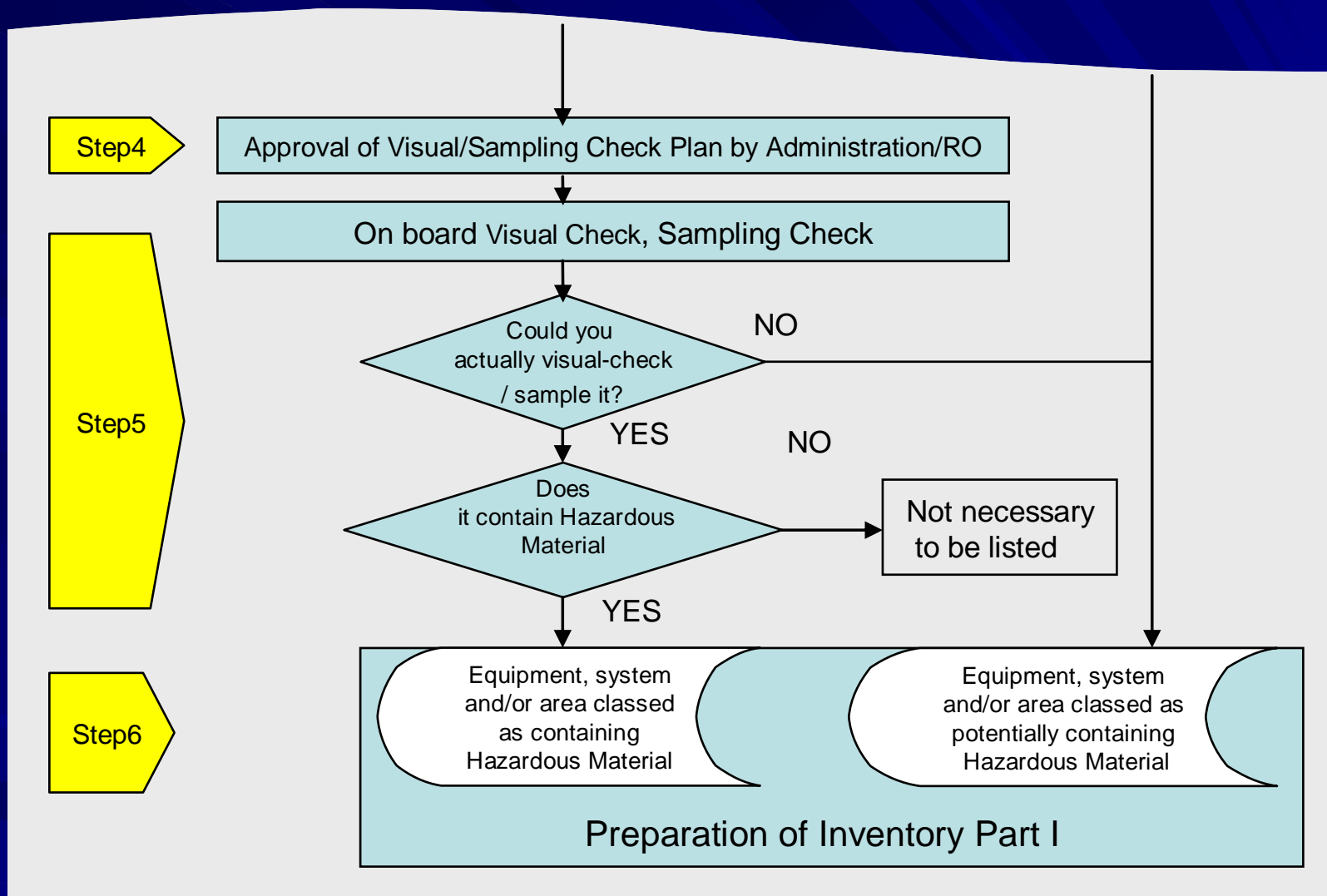
Example form of Supplier's Declaration of Conformity

Development of Part I of the Inventory of existing ships (1)



Flow Diagram for Development of Part I of the Inventory for existing ships (1/2)

Development of Part I of the Inventory of existing ships (2)



Trends of Environmental Regulation in Other Industries (1)

Europe



ELV Directive



DIRECTIVE 2000/53/EC OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 18 September 2000 on end-of life vehicles

Article 4 Prevention

2. (a) Member States shall ensure that materials and components of vehicles put on the market **after 1 July 2003** do not contain **lead, mercury, cadmium or hexavalent chromium ...**

Trends of Environmental Regulation in Other Industries (2)

RoHS Directive



DIRECTIVE 2002/95/EC OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 27 January 2003 on the restriction of the use of certain hazardous substances in electrical and electronic equipment

Article 4 Prevention

1. Member States shall ensure that, **from 1 July 2006**, new electrical and electronic equipment put on the market does not contain **lead, mercury, cadmium, hexavalent chromium, polybrominated biphenyls (PBB) or polybrominated diphenyl ethers (PBDE)**.

Trends of Environmental Regulation in Other Industries (3)

Japan



J-MOSS

The marking for presence of the specific chemical substances for electrical and electronic equipment (JIS C0950:2005)

Obligation to **provide information** on chemical substances contained in **home appliances and PCs** from **July 1, 2006**



Target chemical substances

lead, mercury, cadmium, chromium IV, PBB and PBDE

Target products

Home appliances and PCs

Trends of Environmental Regulation in Other Industries (4)

China



Measures for the Administration of the Control of Pollution by Electronic Information Products

Article 13

Producers and importers of electronic information products shall **mark the toxic or hazardous substances or elements** contained in the electronic information products that they launch in the market, ...



Entry into Force: March 1, 2007

Target substances

lead, mercury, cadmium, chromium IV, PBB and PBDE and other toxic and hazardous substances or elements specified by the States

Target products: Electronic information products

Trends of Environmental Regulation in Other Industries (5)

Korea



Act for Resource Recycling of Electrical and Electronic Equipment and Vehicles

Article 9

...manufacturers or importers ... shall **comply with the concentration limits of such hazardous substances as heavy metals and flame retardants**, which are stipulated by the Presidential Decree and have high potential of environmental pollution,...

Entry into Force: January 1, 2008

Target substances

lead, mercury, cadmium, chromium IV, PBB and PBDE

Target products

Electrical and Electronic Equipment and Vehicles

The Cost of Non-compliance

Sony Playstation seizure in Netherlands

On December 4th of 2001, CNN reported on the Netherlands government seizure of 1.3 million Sony Playstation game machines. The estimated value of the items seized was \$162 million US. The reason: Cadmium in some of the cables.

(<http://www.rohswell.com/News/rohs003.php>)



Points of Discussion (1)

Point 1

Involvement from early stage of the discussion with manufacturer's points of view is very important for making a practicable and reasonable rule and its universal implementation.

MEPC Documents with regard to the Inventory submitted by Japan

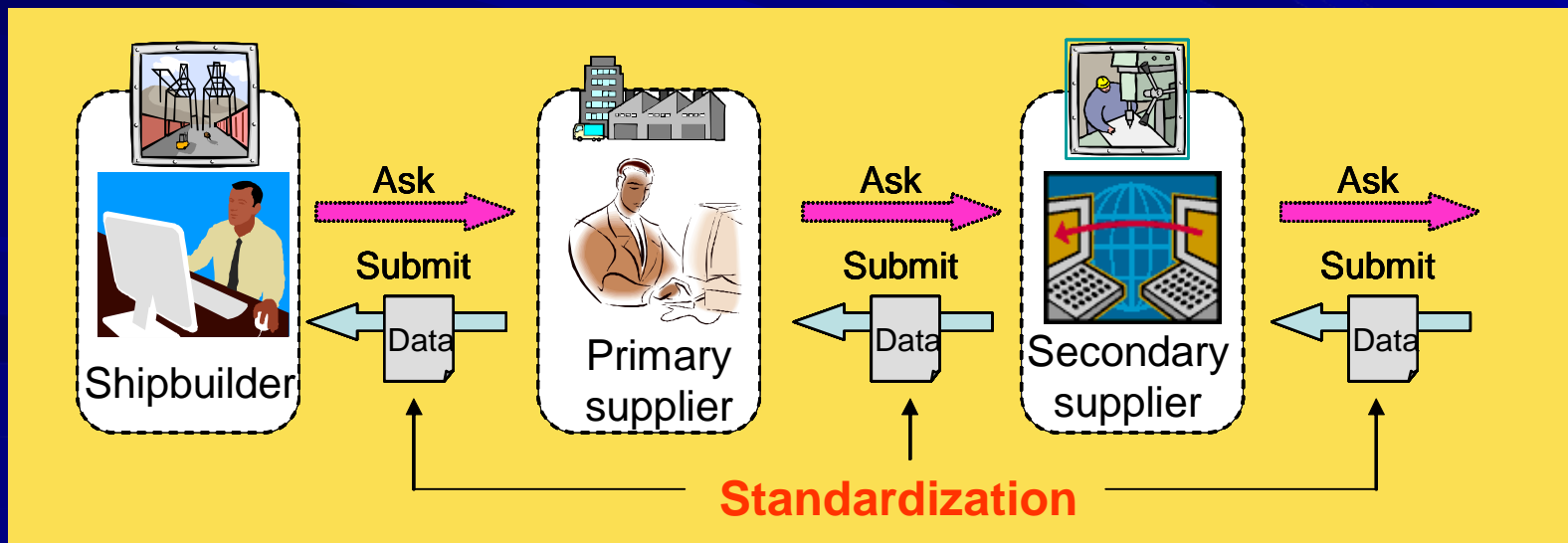
- Research on a system to facilitate the preparation of the Green Passport (MEPC52/3/8)
- Proposal for a standard format of the Inventory of Potentially Hazardous Materials and criteria for the selection of the materials to be listed in the Inventory (MEPC54/3/1)
- Draft Guidelines for the development of the Inventory of Hazardous Materials (MEPC55/3/1, Japan and Germany)
- Comments on regulation B-I-4 of the draft Convention regarding the Inventory of Hazardous Materials for existing ships (MEPC55/3/9)
- Draft Guidelines for the development of the Inventory of Hazardous Materials (MEPC56/3/2, Japan and Germany)
- Explanatory Notes: Development of the Inventory of Hazardous Materials (MEPC56/3/15)

Points of Discussion (2)

Point 2

Management system of chemical substances throughout shipbuilding supply chain, including data exchange of “Material Declaration”, should be established in proper way.

Standardization of the method and format of data exchange in supply chain helps the development of the Inventory by assisting the suppliers' quick response and easy construction of a database.



Conclusion

IMO/MEPC has been developing “the draft International Convention for the Safe and Environmentally Sound Recycling of Ships”.

Japan and Germany submitted the draft text of the Guidelines for the development of the Inventory of Hazardous Materials.

Points of Discussion

- (1) Involvement from early stage of the discussion with manufacturer’s points of view
- (2) Management system of chemical substances throughout shipbuilding supply chain